

# Deep learning-based sperm image analysis to support assessment of male reproductive health

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## Abstract

Nowadays, male infertility is a worldwide issue. This problem can be caused by various factors such as low sperm count, weak sperm, anti-sperm antibodies, blocked sperm ducts, congenital infertility, and so on. In fact, if the problem can be detected early, it could be completely solved in some specific cases. This paper proposes a new, more comprehensive framework based on a deep learning model that can address two phases including detection and classification, which are the main steps in solving infertility problems. Experiments conducted on some datasets show that our proposed model has achieved high efficiency compared to other models.

**Key Words:** Human Sperm Analysis, Object Detection, Object Classification, Deep Learning, Computer Vision.

## 1 Introduction

Infertility is now a crucial problem in our life. According to the World Health Organization (WHO, 2023) statistics, it is estimated that around 17.5% of the adult population – roughly 1 in 6 worldwide – experience infertility [16]. Globally, 48.5 million couples are affected by infertility (2015). Statistic about infertility in the world shows that 9 out of 10 countries have the highest birth rates including countries in Africa and Afghanistan. Countries in Southern Europe, Eastern Europe, and East Asia have the lowest birth rates averaging 1.5 children

per woman. In developing countries, one in four couples is affected by infertility. In Vietnam, according to a study by Nguyen Hoai Bac and colleagues [1], among 1,649 infertile men included in the research, the rate of unexplained male infertility accounted for 67.5% of the total study subjects and particularly up to 80.0% for cases with sperm present in the semen. Azoospermia infertility accounted for only 20.8%, but up to 80.9% of these cases found a cause. Additionally, from April 2013 to April 2019, 3,386 out of 28,963 patients visited for infertility, making up 11.7%. This is also an alarming rate for the youth today. In the works of [10, 17], 15% of couples face infertility issues, of which 30-40% of cases are attributed to male factors. Therefore, one of the early detection methods is the evaluation of sperm quality. Currently, doctors evaluate sperm quality manually through a microscope. This method has characteristics such as the ability to depend on the expertise of the evaluator, the ability to rely on the quality of the equipment, and the ability to consume a significant amount of time and manpower. According to a survey conducted in some hospitals at the lower level, the evaluation of sperm quality faces difficulties due to uneven expertise among evaluators while the demand for testing is increasing. Therefore, building a support system for doctors is essential and practical. The contribution of our paper is to propose a framework for sperm analysis to support the assessment of male reproductive health based on a deep learning model. Moreover, we also use an activation function which is introduced in our work [20] for the object detection step for our framework. Experiments conducted on

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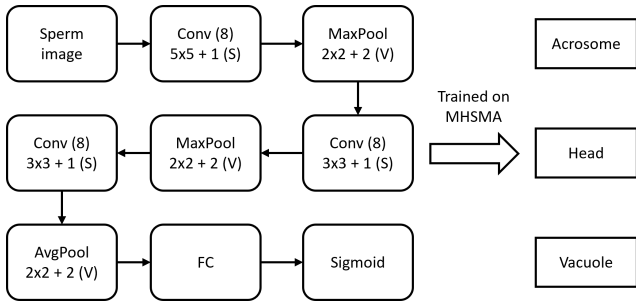


Figure 1: The proposed architecture utilizes a deep learning model for sperm classification [11].

some datasets show that our proposed model has obtained a high efficiency compared to other models. The rest of the paper is organized as follows: Section 2 presents the related work on sperm analysis to support the evaluation of male reproductive health. Section 3 describes our new framework. Then Section 4 presents the experiments that were carried out and discusses the results. Finally, Section 5 presents the conclusions and perspectives of this research work.

## 2 Related work

In this section, we will review some main works that are related to the topic of the paper. In [11], the authors proposed an automatic system installed on smartphones to assess the condition of sperm, DNA fragmentation, and results of hyaluronic acid binding (HBA) tests. Results from the article have shown that the smartphone-based approach performed with an accuracy of 87% in sperm classification tasks. In [4], a method based on a simple Convolutional Neural Networks deep learning model to assess the abnormal morphology of sperm through images at different parts including head, tail, and nucleus has been proposed. In the work, the author built a dataset consisting of 1,540 sperm images from 235 male infertility patients. The results showed the F0.5 score accuracy rates of 84.74%, 83.86%, and 94.65% for head, tail, and nucleus detection, respectively. The sperm morphology analysis is also an interesting direction of research because it is the basic step for other deep steps in studying infertility issues.

In [12], Principal Component Analysis (PCA) has been employed to extract features from sperm images. The k-Nearest Neighbors (KNN) method has also been utilized for diagnosing normal sperm. By applying these methods, the achieved accuracy is 87.53%. However, this work was conducted on a small dataset. A related work was studied by the author Christopher McCallum in 2019 [14]. In the research, they used a deep-learning neural network on a dataset consisting of 1000 DNA images of normal sperm to predict sperm quality. The authors also demonstrated the correlation between sperm cell images and DNA quality. Furthermore, the selection based on this deep learning approach is directly compatible with manual microscopic sperm selection and can support clinical doctors

by providing quick DNA quality predictions (under 10ms per cell) and sperm selection in the 86th percentile from a specific sample. In 2020, the author V. Valiuskaite conducted research and built a Region-Based Convolutional Neural Networks (R-CNN) deep learning model to evaluate the movement ability of sperm in videos, aiming to assess the sperm's state [19]. The model segments the sperm head, while another algorithm is used to track the center coordinates to calculate the head sperm's movement speed. The research recorded an accuracy of 91.77% (95% CI, 91.11–2.43%) in detecting sperm heads in the sample sperm video dataset VISEM (A Multimodal Video Dataset of Human Spermatozoa). The Mean Absolute Error (MAE) of sperm head viability prediction is 2.92 (95% CI, 2.46–3.37), while the Pearson correlation between actual sperm head viability and prediction is 0.969. In [23], Zhang et al. proposed a new method for analyzing sperm morphology but applied it to animals. In the method, by employing image processing techniques, parameters such as the length extension of the head, ellipticity, percentage of the acrosome, and the angle of the lens were examined. To achieve this goal, various algorithms such as K-means and the edge thinning algorithm were utilized. Therefore, based on the extracted parameters, the algorithm could determine the morphological quality of each sperm. Some studies do not focus on sperm morphology analysis but are related to the task of analyzing sperm motion. In [6], a method named SMA was introduced to detect and analyze different parts of human sperm based on some image processing techniques. First, SMA removes noises and enhances the contrast of the image. Then it detects the different parts of sperm (e.g., head, tail) and analyzes the size and shape of each part. Finally, each sperm will be classified as a normal or abnormal sample. In [15], an approach based on image processing is introduced to support the experts in fertility diagnosis. The method combines the Lambertian model based on surface reflectance with mathematical morphology, however, the method focuses on sperm cell segmentation. Other methods for image segmentation for fertility diagnosis can be cited here such as in [3, 8].

## 3 The proposed framework

This section will introduce a deep learning model to the assessment of male reproductive health. The overview of our framework is presented in Fig. 2. In contrary with other methods, we focus on two main steps which are object detection and object classification. In the object detection step, we use the YOLOv6 model for detecting sperm. The purpose of sperm detection is to identify where the sperm is located and prepare for classification steps. This is an important step. In this step, we use not only common activation functions but also test our new activation function introduced in our preliminary paper [20] called SegRELU. The form of SegRELU is presented in the equation 1.

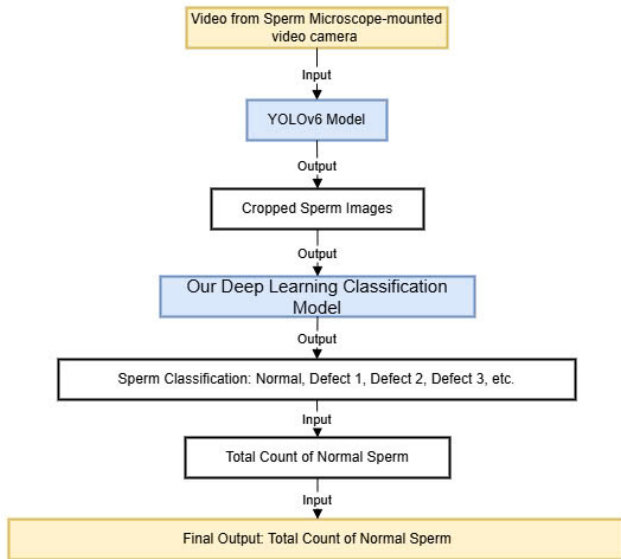


Figure 2: The overview of our framework.

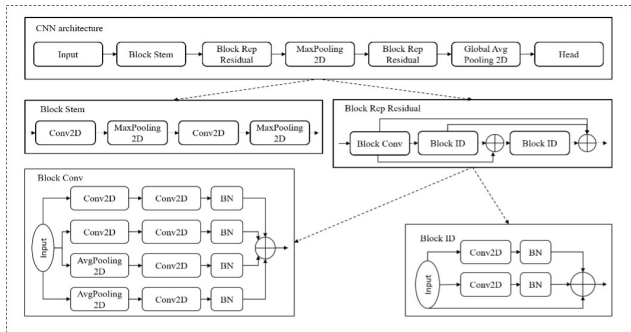


Figure 3: The proposed CNN architecture.

$$f(x) = \begin{cases} \frac{x}{1+|x|} & \text{if } x \leq 0 \\ x & \text{if } x \geq 0 \end{cases} \quad (1)$$

Following the work in [20], SegRELU has some specific properties: (1) the parameter-free configuration is designed to facilitate user implementation, (2) the lightweight architecture aims to promote reproducibility in future deep learning models, and (3) a robust weight update is applied to both the positive and negative segments of the activation functions to maintain effective feature learning throughout the training process.

In the object classification step, we propose a deep learning model with the architecture depicted in Figure 3, this classifier will classify sperms as normal or abnormal. The advantage of the model is a simple, lightweight architecture, leading to fast processing times. The model's architecture is divided into three main components: Block Stem, Block Rep Residual, and Block Head. The Block Stem is used to reduce the size of the data, the Block Rep Residual is extracts various features from images, and the Block Head is responsible for classification. The Block Rep Residual contains the Block Conv and the Block ID. In

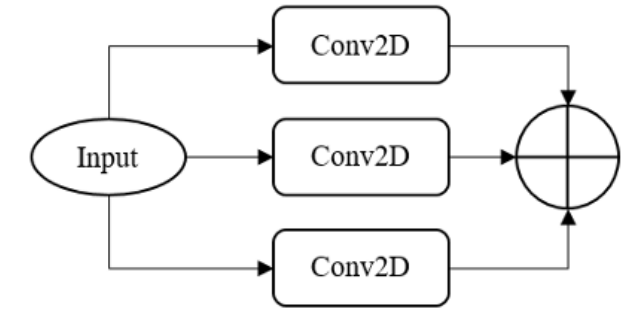


Figure 4: The parallel architecture

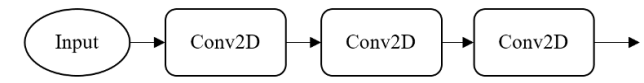


Figure 5: The sequential architecture of the AlexNet model and the VGG16 model

which, the Block Conv was designed to extract features with parallel Conv2D layers to increase the ability to extract data features through (1x1), (3x3), and (5x5) kernels with the same input data. If we use Conv2D layers in a sequential order of kernels, the ability to extract diversity will be limited because the input matrix has been changed. While the Block ID was built based on an idea partially from the ResNet network, which is also the main advantage of the ResNet network. As the model has more layers, the gradients of the later layers tend to approach zero. To avoid this, the authors of the ResNet model added  $x$  to the output of the model. This helps the model update weights more effectively during training. The model is described more detail in Figure 4.

As mentioned above, the proposed architecture has about 3.5 million parameters, which is more optimal compared to the AlexNet model (about 61 million parameters) and the VGG16 model (about 134 million parameters) and is like the MobileNet model (about 4.3 million) and the MobileNetV2 model (about 3.5 million). Moreover, we used a parallel architecture in Block ID, unlike the sequential architecture of the AlexNet and VGG16 models (Figure 5). This makes our model lighter and

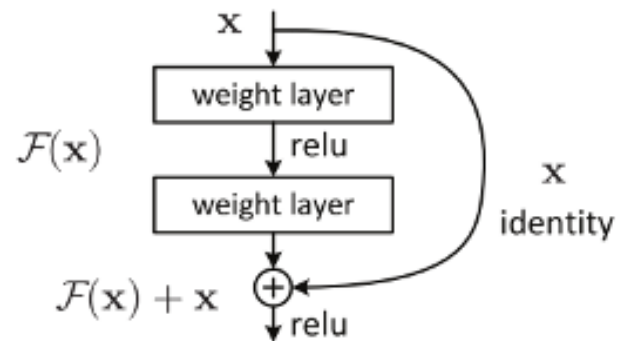


Figure 6: The Block ID architecture

faster to process than the others. Despite this, the achieved accuracy remains sufficiently good for our specific problem.

## 4 Experiments and results

### 4.1 Dataset

To evaluate our object detection model's effectiveness, we extracted 125,000 images from the SVIA dataset [5] for training, validation, and testing. The SVIA dataset is sourced from JingHua Hospital of Shenyang, and the creation of this dataset commenced in 2017. Over a span of approximately four years, more than 278,000 objects been annotated. These annotations were performed by 14 reproductive doctors and biomedical scientists and were validated by six reproductive doctors and biomedical scientists. In addition, the annotated objects encompass various sperm types, such as normal, pin, amorphous, tapered, round, or multinucleated head sperm, along with impurities like bacteria, protein lumps, and bubbles. To evaluate the effectiveness of our classification model, we conducted experiments on various datasets: HUSHEM, SCIAN [22], SMIDS [18]. The detailed datasets are described in table 1.

Table 1: Datasets for classification phase

HuShem Dataset		
Labels	HuShem Original	HuShem Original + GANs [2]
Normal	54	1054
Tapered	53	1053
Pyriiform	57	1057
Amorphous	52	1052
<b>Total</b>	<b>216</b>	<b>4216</b>

SCIAN Dataset		
Labels	SCIAN Original	SCIAN Original + GAN [2]
Normal	100	3851
Tapered	228	3852
Pyriiform	76	3852
Small	72	3852
Amorphous	656	3852
<b>Total</b>	<b>1132</b>	<b>19259</b>

SMIDS Dataset		
Labels	SMIDS Original	SMIDS Original + GAN [2]
Normal	1021	2021
Abnormal	1005	2005
Non-Sperm	974	1974
<b>Total</b>	<b>3000</b>	<b>6000</b>

### 4.2 Evaluation methods

To evaluate the effectiveness of machine learning models, we use mAP for object detection, and Accuracy, F1-Score, Precision, and Recall for classification models. Before delving into the details of these formulas, let's familiarize ourselves with

some related concepts such as Intersection over Union (IoU). IoU is the ratio of the intersection to the union of the predicted region and the true object region, calculated as the following equation 2.

$$IoU = \frac{\text{Area of Overlap}}{\text{Area of Union}} \quad (2)$$

$$\text{Precision} = \frac{TP}{TP + FP} \quad (3)$$

$$\text{Recall} = \frac{TP}{TP + FN} \quad (4)$$

The results of IoU are values in the range (0,1), and each detection will have its own IoU value. To determine whether detection is correct or incorrect, we rely on a predefined threshold (in our research, the threshold = 0.7). If the IoU is greater than or equal to the threshold, it is considered a correct detection; otherwise, it is considered a wrong detection. Based on these concepts, we calculate the True Positive (TP) values: The IoU greater than or equal to the threshold, indicating a correct detection; and False Positive (FP): The IoU less than the threshold, indicating a wrong detection; False Negative (FN): cases where the ground truth does not have a predicted bounding box. From these, we obtain metrics used in our research: Precision, which measures prediction accuracy (%) and Recall, which measure of the ability to find correct detections (%), and these metrics are calculated using the following formulas 3, 4.

From the precision and recall obtained above, we can draw the Precision-Recall (PR) curve for each individual class. It illustrates the trade-off between Precision and Recall for various Confidence Score values. The Average Precision (AP) is the area under the PR curve, which was mentioned earlier. A larger area indicates a higher level of Precision and Recall, implying a higher-quality model. After calculating the AP, we compute mAP by averaging the AP values for all classes. The higher the mAP score, the more accurately the model detects and makes correct predictions.

### 4.3 Results

We tested some models for object detection and the results are presented in Table 2. From the table, we can see that the YOLOv6 using the activation function SegRELU has obtained the highest performance for all indicates Precision, Recall, F1\_score, and mAP. It can be explained by the fact that SegRERU has some good properties. SegRELU is based on combining the characteristics of RELU and the Softsign function. Softsign exhibits polynomial growth and features a smoother asymptote line, showcasing a heightened level of non-linearity. The non-linear nature introduced by the quadratic function is highly valued in neural network research for preserving essential features. SegRELU inherits this non-linearity and allows it to accurately delineate complex object boundaries in images. Additionally, SegRELU can generate

Table 2: Comparison of the proposed detection model with other models in the paper [21].

Model	Precision (%)	Recall (%)	F1.Score (%)	mAP (%)
SSD	92.23	65.44	76.59	41.98
RetinaNet	96.30	98.70	98.10	-
DeepSperm	88.50	96.50	93.00	94.11
YOLOv5	82.60	89.20	85.80	88.00
YOLOv6 (SiLU)	97.64	98.37	98.02	99.24
YOLOv6 (ReLU)	97.36	98.42	98.24	99.24
YOLOv6 (SegRELU)	<b>99.10</b>	<b>99.25</b>	<b>99.30</b>	<b>99.55</b>

activation during the calculation of gradients in the negative part and mitigate the issue of dead neurons during training.

The results of the classification model have been presented in Table 3, Table 4, and Table 5. From these tables, we can see that our model obtained good results compared with other methods. It can be explained by the fact that our model has been redesigned based on some properties which are presented earlier in section 3.

Table 3: Comparison of the proposed classification model with state-of-the-art classification models on the SMIDS dataset [7].

Model	Overall Accuracy (%)
DWT + SVM (Poly)	77.30
DTCWT + SVM (Poly)	80.10
DTWT + SVM (RBF)	80.30
SURF + SVM (Poly)	77.60
MSER + SVM (Poly)	80.70
KAZE + SVM (RBF)	83.80
VGG19 Aug	87.00
Mobilnet Aug	87.00
Inception Aug	87.30
<b>Our model</b>	<b>90.77</b>

Table 4: Comparison of the proposed classification model with state-of-the-art classification models on the SCIAN dataset [2, 9, 13].

Methods	Accuracy (%)	Precision (%)	Recall (%)	F1-Score (%)
DL-HPM	65.9	58.7	68.9	63.2
CE-SVM	44	-	58	-
APDL	49	-	62	-
FT-VGG	49	47	62	53
MC-HSH	63	56	68	61
TL	-	-	62	-
Our model	60.02	58.34	61.32	61.86

## 5 Conclusions

In this paper, we have proposed an efficient framework for sperm image analysis to support the assessment of male

Table 5: Comparison of the proposed classification model with state-of-the-art classification models on the HUSHEM dataset [2, 9, 13].

Methods	Accuracy (%)	Precision (%)	Recall (%)	F1-Score (%)
DL-HPM	96.50	96.80	96.60	96.50
CE-SVM	78.50	80.50	78.50	78.90
APDL	92.20	93.50	92.30	92.90
FT-VGG	94.00	94.70	94.10	94.10
MC-HSH	95.70	96.10	95.50	95.50
TL	96.00	96.40	96.10	96.00
Our model	98.22	98.22	98.22	98.23

reproductive health. The framework is based on deep learning techniques some new ideas will be integrated with the developing deep learning model making it more efficient. Moreover, we also use an activation function which was introduced in our previous work for the object detection step. Some limitations of our paper can be noted here: the results are not a significant improvement; the data set needs update. The results conducted on some real datasets show the effectiveness of our model. In the future, we will continue to extend our research by using other data sets, developing new techniques, and applying our framework in real applications.

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